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FAX TRANSMITTAL SHEET**NO. OF PAGES** (including this page): 12

TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Kenneth R. Horlick
Art Unit: 1637
FAX: (703) 872-9306

FROM: Sheela Mohan-Peterson
DATE: August 21, 2003
RE: Docket No.: DX0388K3B
USSN: 09/929,612
Filed: 08/13/2001
Title: NUCLEIC ACIDS ENCODING MAMMALIAN CTLA-8; AND RELATED
REAGENTS (as amended)

Any difficulty with this facsimile, please call:
Melanie Lyons at (650) 496-1183

Documents attached:

- Transmittal
- Fee Transmittal
- Amendment and Response

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A handwritten signature in black ink, appearing to read "Melanie Lyons", written over a horizontal line.
Melanie Lyons

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PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/929,612	
	Filing Date	08/13/2001	
	First Named Inventor	Pierre Golstein	
	Art Unit	1637	
	Examiner Name	Kenneth R. Horlick	
Total Number of Pages in This Submission	12	Attorney Docket Number	DX0388K3B

ENCLOSURES <i>(Check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (9 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks/Enclosure: - Fax Transmittal Sheet		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Sheela Mohan-Peterson, Reg. No. 41,201 DNAX Research, Inc. 901 California Ave. Palo Alto, CA 94304-1104
Signature	<i>Sheela Mohan Peterson</i>
Date	21-Aug-2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: <u>8-21-03</u>			
Typed or printed	MELANIE LYONS		
Signature	<i>Melanie Lyons</i>	Date	8-21-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

LI Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0

Complete if Known	
Application Number	09/929,612
Filing Date	August 13, 2001
First Named Inventor	Pierre GOLSTEIN
Examiner Name	K. Horlick
Art Unit	1637
Attorney Docket No.	DX0388K3B

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input checked="" type="checkbox"/> Deposit Account	<input type="checkbox"/> Other
None	
Deposit Account Number: 04-1239	
Deposit Account Name: DNAX Research, Inc.	
The Commissioner is authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below	<input checked="" type="checkbox"/> Credit any overpayments
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application	
<input checked="" type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	0
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) \$			0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			
Total Claims	Extra Claims	Fee from Below	Fee Paid
16	-20** = 0	X	
Independent Claims	1	-3** = 0	X
Multiple Dependent			
SUBTOTAL (2) \$ 0			

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)			
3. ADDITIONAL FEES			
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2012 2,520	For filing a request for ex parte reexamination	
1804 920*	2004 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2005 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) \$			0

SUBMITTED BY		(Complete if applicable)	
Name (Print/T,pe)	Sheela Mohan-Peterson	Registration No.	41,201
Signature	<i>Sheela Mohan-Peterson</i>	Telephone	1-650-496-6400
		Date	21-Aug-2003

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Appl. No. 09/929,612
Amdt. August 21, 2003
Reply to Office action of June 16, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Pierre GOLSTEIN, et al.

Application No.: 09/929,612

Filed: August 13, 2001

For: NUCLEIC ACIDS ENCODING
MAMMALIAN CTLA-8; AND
RELATED REAGENTS (as
amended)

Examiner: Kenneth R. Horlick

Art Unit: 1637

Conf. No.: 9875

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for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,
Fax Number (703) 872-9306, Attention: Examiner K. Horlick,
Art Unit 1637, on 8-21-03.

by:


MELANIE LYONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OFFICIAL

AMENDMENT AND RESPONSE

Honorable Sir:

In response to the Office action dated June 16, 2003 (paper no. 12), Applicants
submit the following amendment and response. Reconsideration is respectfully
considered.

Please amend the above-identified application as follows:

Amendment to the Specification begin on page 2 of this paper**Amendments to the Claims** are reflected in the listing of claims that begins on
page 3 of this paper.**Remarks/Arguments** begin on page 6 of this paper.